



**CUSTOMER INFORMATION QUESTIONNAIRE 客戶資料問卷**  
**CONSUMER ACCOUNT – ICFS 私人帳戶——跨洲金融部**

<p>9. Who are the major customers remitting to this account, what's the business nature of the remittance, what is the relationship between you and the customer? 主要向该帐户汇入款项客户的名称、款项的性质及与您的关系?</p>	<p>1) _____ ;                  2) _____ ;                  3) _____ ;</p>
<p>10. How will typical withdrawals from the account be made?                  本帐户提款交易以右列何种形态为多?(可复选).</p>	<p><input type="checkbox"/> Wire Transfer 电汇      <input type="checkbox"/> Internet Banking 网络银行交易  <input type="checkbox"/> Checks 支票      <input type="checkbox"/> ACH 自动转帐      <input type="checkbox"/> Other 其它- specify 请说明:                  _____                  _____</p>
<p>11. What would be the purpose for these withdrawal transactions?                  本帐户提款交易的用途.</p>	<p><input type="checkbox"/> Investment 投资      <input type="checkbox"/> Savings 储蓄      <input type="checkbox"/> Family expenses 家庭使用费  <input type="checkbox"/> Education expense for children 孩子教育使用费  <input type="checkbox"/> Payment to Vendor.  <input type="checkbox"/> Other 其它- Describe 请说明: _____</p>
<p>12. Who are the major vendors, what's the purpose of the remittance? 从该帐户中汇出款项主要客户的名称及汇款用途.</p>	<p>1) _____ ;                  2) _____ ;                  3) _____ ;</p>
<p>13. Are you or any of the signers on the account a Senior Official in the government? 您本人或本帐户任何有权签字人是政府机构高级公职人员吗?</p>	<p><input type="checkbox"/> No 否    <input type="checkbox"/> Yes 是. <b>If Yes, please indicate name and position.</b> 若是,请详细列出姓名和其任职机构及职称 _____</p>
<p>Are you or any of the signers on the account related to a Senior Official in the government? 您本人或本帐户任何有权签字人和政府机构高级公职人员有亲属关系吗?</p>	<p><input type="checkbox"/> No 否    <input type="checkbox"/> Yes 是. <b>If Yes, please indicate name and position.</b> 若是,请详细列出有权签字人和公职人员姓名,彼此关系,以及公职人员任职机构及职称 _____</p>
<p>Are you or any of the signers on the account a close associate of Senior Official in the government? 您本人或本帐户任何有权签字人和政府机构高级公职人员有朋友关系吗?</p>	<p><input type="checkbox"/> No 否    <input type="checkbox"/> Yes 是. <b>If Yes, please indicate name and position.</b> 若是,请详细列出有权签字人和公职人员姓名,彼此关系,以及公职人员任职机构及职称. _____</p>
<p>14. If the opening deposit is for \$500K or more, or if the expected balance is for \$500K. or more, information <b>a) through e)</b> is required 若开户金额等于或高于五十万美元,或者帐户预计余额等于或高于五十万美元,请回答右侧 a 至 e 的问题</p> <p><b>Note:</b> Please provide copies of account statements for the last 3 months or a Financial Reference letter on the Bank or Financial company's letter head listed on <b>e)</b> below. 注意:关于 e 项问题,请附上近三个月内银行帐户对帐单,或由银行/金融机构出具的正式财力证明书.</p>	<p>a) Total liquid investments in thousands (US Dollars) 动产总额 (以千美元为单位):  <input type="checkbox"/> Under 低于 \$50    <input type="checkbox"/> \$50 to \$100    <input type="checkbox"/> \$101 to \$250  <input type="checkbox"/> \$251 to \$500; <input type="checkbox"/> Over 超过 \$500</p> <p>b) The liquid investments are in: 您的动产来自哪一地区  <input type="checkbox"/> México 墨西哥    <input type="checkbox"/> USA 美国    <input type="checkbox"/> Europe 欧洲  <input type="checkbox"/> Asia/Pacific 亚洲/泛太平洋地区    <input type="checkbox"/> Other 其它- Describe 请说明: _____</p> <p>c) Financial Summary 财务状况:                  Total Assets: US Dollars \$ _____                  总资产: 美金 _____                  Total Liabilities: US Dollars\$ _____                  总负债: 美金 _____                  Net Worth: US Dollars\$ _____                  净值: 美金\$ _____</p> <p>d) Source of Wealth 财力来源:</p> <div style="border: 1px solid black; padding: 5px;"> <p><input type="checkbox"/> Business Ownership 企业拥有  <input type="checkbox"/> Profession 专业  <input type="checkbox"/> Executive Salary 薪水  <input type="checkbox"/> Inheritance 遗产继承  <input type="checkbox"/> Other 其它, explain below 请说明</p> </div>

e) Bank / Financial References 银行或金融机构财力证明书

Name & Telephone Number of Bank or Financial Institution 银行或金融机构名称及电话号码	Account Number or Relationship 帐号	Name of Account Executive or Manager 帐户专员或经理姓名

**VERIFYING YOUR IDENTITY (as required by the USA Patriot Act):** To Help the government fight the funding of terrorism and money laundering activities, Federal Law requires all financial institutions to obtain, verify, and record information that identifies each person who opens an account. *What this means for you:* ➤ When you open an account, we will ask for name, address, date of birth, and other information that allow us to identify you. ➤ We may also ask to see your driver's license or other identifying documents

The Undersigned authorizes the Bank to obtain credit reports and make whatever other inquiries the Bank deems appropriate about the Undersigned or any Authorized Signer. 本人(以下签名者)同意银行为查证本人背景,调阅本人和其它有权签字人的信用报告,或作其它必要的调查。

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Name of Account Owner  
填写本表的帐户有权签字人姓名

Signature 签名:

Date 日期:

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Name of Account Owner  
填写本表的帐户有权签字人姓名

Signature 签名:

Date 日期:

FOR BANK USE ONLY

<b>Interviewer</b> <b>Signature:</b> _____ <b>Print Name:</b> _____ <b>Date and Time:</b> _____	<b>Comments (if any):</b>
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<b>Accepted by (FENB Officer):</b> _____ Signature	_____ Print Name / Dept Name
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<b>ICFS Review:</b> Prod / AHR : ____ / ____ <input type="checkbox"/> <input checked="" type="checkbox"/> BRIDGERINSIGHT ICFS Ops Manager Review By (Signature) _____ <b>*1 OPS ADM</b> Review by (Signature): _____ <b>*2 EXECUTIVE Approval:</b> (If needed): _____	<b>*1 BSA - COMPLIANCE</b> Review by (Signature): _____ Comments (if any):
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